

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : A23P 1/04, A23L 1/237, A23P 1/08, A23L 1/187		A1	(11) International Publication Number: <b>WO 98/49910</b> (43) International Publication Date: 12 November 1998 (12.11.98)
(21) International Application Number: PCT/NL98/00241 (22) International Filing Date: 1 May 1998 (01.05.98) (30) Priority Data: 1005948 1 May 1997 (01.05.97) NL 1007696 4 December 1997 (04.12.97) NL (71) Applicant (for all designated States except US): INSTI- TUUT VOOR AGROTECHNOLOGISCH ONDERZOEK (ATO-DLO) [NL/NL]; Postbus 17, NL-6700 AA Wa- geningen (NL). (72) Inventors; and (75) Inventors/Applicants (for US only): VAN VILSTEREN, Geertruida, Everdina, Theodora [NL/NL]; Oude Ter- borgseweg 270, NL-7004 KA Doetinchem (NL). NEERHOF, Hendrik, Jan [NL/NL]; Thorbeckestraat 2A, NL-6702 BS Wageningen (NL). SCHIJVENS, Eugenius, Paulus, Henricus, Maria [NL/NL]; Korenbloemstraat 2A, NL-6871 WE Renkum (NL). DELNOYE, Didier, André, Pierre [NL/NL]; Thorbeckestraat 42, NL-6702 BS Wa- geningen (NL). JONGSMA, Tjeerd [NL/NL]; Nassaulaan 24, NL-6721 DZ Bennekom (NL).		(74) Agents: DE BRUIJN, Leendert, C. et al.; Nederlandsch Octrooibureau, Scheveningseweg 82, P.O. Box 29720, NL-2502 LS The Hague (NL). (81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i>	
(54) Title: ENCAPSULATED MATERIAL WITH CONTROLLED RELEASE			
(57) Abstract <p>An encapsulated material is described of which at least a part of the material is kept encapsulated during heat treatment in an aqueous environment and is released during cooling after a heat treatment. The material is encapsulated in a layer of a hydrophobic film-forming material and a layer of a material having a low critical solution temperature (LCST) below the treatment temperature. The layer containing the hydrophobic material may be situated inside the layer having the LCST and have a melting point below the LCST, but it may also be situated outside the layer having the LCST and have a melting point above the LCST of said layer. Said layers may also be applied together.</p> <p style="text-align: center; font-size: 2em; transform: rotate(-15deg);">= US 6,240,988</p> <p style="text-align: center; font-size: 1.5em; transform: rotate(-15deg);">Good second ref.</p>			

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

## Encapsulated material with controlled release

### Field of the invention

The invention relates to an encapsulated material, in which at least some of the material is released in a controlled manner during cooling after a heat treatment, in particular for use in foodstuffs, cosmetic products, pharmaceuticals, animal feedstuffs, and hygiene products.

### Background

It is known from *Food Engineering*, 1983, page 59, to coat fortified rice grains with a layer consisting of methylcellulose (MC) and hydroxypropylcellulose (HPMC), with the object of preventing premature extraction of nutrients out of the rice grain during cooking. These cellulose derivatives are soluble at low temperature (ambient temperature and body temperature) but are not soluble at high temperature. When the rice is introduced into boiling water, the cellulose derivatives ensure that escape of the nutrients is retarded during the cooking of the rice grain and less is therefore thrown away with an excess of cooking water, while the nutrients can still be released later, for example in the body. This reverse solubility behaviour in water, hereinafter referred to as LCST (LCST = lower critical solution temperature), is known for such cellulose derivatives and other polymers.

A disadvantage of this manner of encapsulating foodstuffs and other materials is that, on contact with water or another solvent at low temperature prior to the heat treatment, the materials can escape from the encasing, since the material having the LCST is soluble at low temperature.

It is also known to use polymers with LCST behaviour such as HPMC as a coating material. This polymer has been used widely because it is a food-grade film-forming polymer. HPMC is added to lipid materials in order to produce bilayer films which have a reduced water vapour permeability (see e.g. Kamper et al, *J. Food Sci.*, 1984, 49, 1478-1481; Hagenmaier et al, *J. Agric. Food Chem.*, 1990, 38, 1799-1803). Commonly two techniques are used to produce bilayer films. The first technique is by casting a lipid layer onto a preformed dry film of HPMC. The second technique is by emulsifying a melted lipid into a solution of HPMC and drying a thin layer of the emulsion. During drying, phase separation will occur, resulting in two different layers: HPMC on the product and the lipid on the outside.

All these systems have similar disadvantages as the method described in *Food Engineering*, 1983, 59. When heating the system, the lipid will melt and be lost in the product, leaving only a cellulosic derivative layer, which shows a release based on Fickian diffusion.

5 WO 89/05634 describes a sustained-release granular solid medicament form, consisting of a core granule of an excipient material such as lactose, coated with a layer of cellulose ether (HPMC), which is insoluble in hot water. The coating layer contains the active ingredient. The coating liquid, composed of the cellulose ether (5-30 % by weight) and the effective ingredient, is applied at a temperature (80°C)  
10 at which the cellulose ether is insoluble. The coated granules can be coated with a further outer layer of a wax-like material, such as paraffins, waxes, higher alcohols, etc. having a melting point between 40 and 90°C. In this method the LCST-behaviour of the cellulose ether is used in the production of the medicaments. A disadvantage of this method is that it is only applicable for heat-stable ingredients.

15 US 5,310,558 discloses a programmed release oral solid pharmaceutical dosage form comprising a core, containing the active ingredient, optionally subcoated by a film-forming material (HPMC) with polyethylene glycol (PEG), subsequently coated with a layer comprising a mixture of a hydrophobic material (wax), 5-20% of a non-ionic surfactant and 5-30% of a water-soluble film-forming material such as  
20 HPMC. The main function of the water-soluble film-forming material in the hydrophobic layer is to ensure the adhesion of the hydrophobic layer on the core. Heating the described system will result in melting of the hydrophobic layer, resulting in loss of the hydrophobic material of the dosage form. The system may have a further outer enteric coating consisting of methacrylic polymer and triacetin. The system will lose  
25 most of the water barrier and the active ingredients will be promptly released into the environment.

#### **Summary of the invention**

A method has now been found for encapsulating foodstuffs and other materials which does not have these disadvantages. In particular, this novel method of  
30 encapsulating is suitable for preparing products which should only release their ingredients after a heat treatment, such as sterilisation or pasteurisation, and a cooling period prior and/or subsequent to the heating treatment. The encapsulated material according to the invention is defined in the accompanying claims.

**Detailed description of the invention**

According to the invention, the encapsulated material may first of all be coated with an inner layer of hydrophobic film-forming material which has the function of preventing diffusion of the encapsulated material through the layer having the LCST prior to termination of the heat treatment. This inner hydrophobic layer is primarily of benefit if the encapsulated material is a hydrophilic material. The hydrophobic material for this layer is chosen as a function of the conditions of use. It is preferably a material that is solid or semi-solid at ambient temperature, having a melting point between 30 and 50°C. Suitable materials are fats (semi-hard fats, cocoa butter and the like) and mono- and diglycerides, certain fatty acids such as lauric acid or mixtures of palmitic and stearic acid and the like, lecithins and derivatives and mixtures thereof. Said hydrophobic layer may be applied from the molten state or from a solution or dispersion to the material to be encapsulated, for example from a solution in an alcohol or an ether or from a dispersion in water. The thickness of said layer may be from a few  $\mu\text{m}$  to several mm, or, as a weight ratio, e.g. 10–10,000 ppm with respect to the encapsulated material.

Instead of encapsulating the material with an inner hydrophobic layer, the encapsulated material can also be mixed into said hydrophobic layer, for example as a granulated material or in dissolved form. The function of this material and the requirements imposed thereon are the same as stated above for the hydrophobic material. The mixture must have some non-deformability so that a layer containing LCST material can be applied to it. If the encapsulated material itself is hydrophobic (not soluble in water) the inner hydrophobic layer can be omitted.

Situated around this optional hydrophobic layer is the layer containing the material having low critical solution temperature (LCST). Said LCST material may be a material known for the purpose. Depending on the conditions of use, the LCST is between ambient temperature and the treatment temperature, for example between 30, preferably 40, and 100°C, in particular between 50 and 90°C. The separation or assembly of the polymer on increasing the temperature is a property of any polymer which contains polar or apolar residues in a suitable arrangement. Useful materials having an LCST are, for example, alkylated and/or hydroxyalkylated polysaccharides, such as hydroxypropylmethylcellulose (HPMC), for example Celacol®, ethyl-(hydroxyethyl)cellulose (EHEC), hydroxypropylcellulose (HPC), methylcellulose

28-30

(MC) and mixtures thereof. Mixtures of cellulose ethers with carboxymethylcellulose (CMC) also form suitable LCST polymers. Other polymers which exhibit LCST behaviour in water and which are suitable as coating material are: polymers of mono- or di-N-alkylated acrylamides, copolymers of mono- or di-N-substituted acrylamides with acrylates and/or acrylic acids or mixtures of interpenetrating networks of the above-mentioned (co-)polymers. Suitable furthermore are polyethylene oxide or copolymers thereof, such as ethylene oxide/propylene oxide copolymers and graft copolymers of alkylated acrylamides with polyethylene oxide. Furthermore: polymethacrylic acid, polyvinyl alcohol and copolymers thereof, polyvinyl methyl ether, certain proteins, such as poly(VAPGVV), a repeating unit in the natural protein elastin, and certain alginates. Mixtures of said polymers with salts or surfactants can also be used as encapsulating material having an LCST. The LCST temperature can thereby be modified.

36. The layer containing the LCST may be sprayed from an optionally heated solution or dispersion, for example from a solution in water and/or an alcohol. The thickness and mass of said layer having the LCST is comparable with that of the optional first hydrophobic layer. The layer containing the LCST or any other layer may further contain other additives such as colorants, flavorants, fragrances, stabilisers, plasticisers, surfactants, fillers, etc.

20 The inner hydrophobic layer and the layer having the LCST behaviour may also be applied simultaneously to the material to be encapsulated in the form of a "hybrid" layer by coating or spray-drying with a dispersion or solution of the hydrophobic material and the material having the LCST behaviour. In this connection, care is taken that the hydrophobic material and the material having the LCST behaviour are mixed in the correct ratios in the dispersion or solution so that the desired masses of the two materials are applied to the material to be encapsulated.

To protect the encapsulated material and the layer having the LCST against water or other dissolving media prior to the heat treatment (up to a temperature above the LCST of said layer), the material provided with the layer having the LCST can be further encapsulated with an outer hydrophobic layer. The material of said layer should be solid, or at least not liquid at ambient temperature, and preferably has a melting point or softening point of at least the solution temperature of the layer having the LCST. In particular, the melting point of said layer is between the LCST

and the treatment temperature. Suitable materials are semi-solid and solid fats, such as solidified castor oil, crambe oil or other vegetable, animal or semi-synthetic fats, paraffins, beeswax, carnauba wax or other waxes, polymers having a UCST (upper critical solution temperature) which is higher than the LCST of the LCST layer, certain proteins or other materials which are released from the underlying layer at a temperature above the LCST. Said outer hydrophobic layer ensures that the LCST layer does not dissolve when the encapsulated material is introduced into cold water. The outer layer is primarily of importance if the encapsulated material is added to the product to be heated at a temperature below the LCST of the underlying layer.

An important advantage of the invention is the release of ingredients after a heating step. Heating steps are very common in food, feed and non-food industry, for instance pasteurisation or sterilisation. An objective of the heating step is, among other things, to extend the shelf life by killing micro-organisms. The product has to be heated in the closed package (e.g. a jar, bottle, etc), which cannot be opened again to prevent recontamination. Thus, ingredients should not be added after the heating step. Another method of heating is, for instance, continuous heating and aseptic filling. Aseptic filling is an expensive technique and is very difficult for products with large pieces. Addition of ingredients during the aseptic filling is only possible when the ingredients are sterile. A release of the ingredients after the heating step, without opening the package, offers large advantages.

According to the invention, the ingredient is separated from the product environment by means of a coating or encapsulation system. The trigger for release is the cooling process after the heating treatment. Release after the heating step is important in case of possible negative effects of the ingredient on the product during heating. Mushrooms give higher weight reduction when sterilised in the presence of salt, but the salt is required for taste. Release of the salt after the heat treatment circumvents the disadvantage. Acidity (low pH-values) has a positive effect on quality parameters of the vegetables, such as texture, and the thermal death time of spores to be killed during pasteurisation or sterilisation. The firmness of green beans after sterilisation at neutral pH or at pH = 4 and sequential neutralisation gives a firmness of 159 and 230 N, respectively. However, the low pH has a negative effect on the taste. Neutralisation of the acid by release of alkali after the heating step circumvents this disadvantage of the sour taste, but the advantages of acidity during



the heat treatment remain. Another important advantage of the invention is the protection of thermally unstable ingredients during the heating step. In the invention the heat unstable ingredient is kept in a dry environment, thus becoming much more stable during heating. As above, the heating step is required and cannot be circum-  
5 vented in any other way. Addition of the heat unstable ingredients to the packaged product afterwards is not possible. Release of the heat unstable ingredients after heating is very interesting for ingredients with nutritional value, such as vitamins, proteins, peptides, hydrolysates, nutraceuticals, etc., and for functional ingredients, such as colorants, anti-oxidants, thickening agents, preservatives, enzymes, etc.

10 The encapsulated material according to the invention can be any material which should be released under a certain temperature regime in a target environment. This includes pharmaceuticals, cosmetic products, preservatives, foodstuffs, growth regulators, colorants, flavourings, pesticides and herbicides, and the like, for use in humans and animals, plants, soil, water etc.

15 The encapsulated ingredients can vary in size from micrometers (e.g. 30 to 1000  $\mu\text{m}$ ) up to several centimeters, e.g. for tablets. The invention can also be applied for coating larger products, such as nuts, raisins, croutons, breadsticks, and the like.

Moreover, the invention can also be used for applying separating layers or films between distinct parts of a product, e.g. separate parts with different colours, in  
20 which migration of ingredients such as colorants should be prevented, or separate parts with different water activity, in which migration of water should be prevented and so on. Such products are referred to herein as semi-solid materials, which means that they are neither thin liquids, nor complete solids, but rather high viscosity, usually aqueous emulsions, pastes, gels, creams or the like. These can be cosmetic  
25 products, hygiene products, household products, and especially foodstuffs. two layers should at least be present, a lower hydrophobic layer and an upper LCST layer. A second hydrophobic layer may be present on top of the hydrophobic layer, in order to avoid migration before the heat treatment. To obtain a closed, covering layer, the material to be encapsulated should have a smooth and even surface.

30 The hydrophobic layers can be applied using procedures such as those known for lipids. A fat can be sprayed onto the material to be encapsulated from a melt or from a solution or dispersion. In this case, the material to be encapsulated is situated on a fluid bed or in a tablet coating pan. The material to be encapsulated may also be

dispersed in a molten fat in order then to be processed to form granulated material by spraying. For this purpose, known spray-cooling, spray-freezing or rotating disc procedures can be used. The LCST layer can be applied from an aqueous solvent or another solvent safe for foodstuffs with the aid of spray coating. The material to be encapsulated is contained in this case in a tablet coating pan or on a fluid bed. It is also possible to disperse the material to be encapsulated in the solution with the LCST polymer and then to spray-dry the dispersion. The coating procedures and spray-drying procedures can also be used to apply the hybrid layer by starting from a solution or dispersion of the hydrophobic material and the material having the LCST behaviour.

Other procedures which can be used to apply a plurality of layers are capillary extrusion procedures. In this case, the material to be encapsulated is dispersed or dissolved in a lipid and passed through a capillary, in which process the encasing layer is coextruded around the core material. Other conventional and convenient coating techniques can be applied as well.

### Example 1

2.5 g of salt are pressed into a cylindrical tablet. The angles of the tablet are abraded until an oval tablet is produced. The tablet is coated and dried on a fluid bed. The coating is applied by spraying. Two layers are applied. The first layer consists of Emuldan KS60 (5-10 mg) and is applied from an ethanol solution (2.5% Emuldan KS60, 97.5% ethanol). The second layer consists of Celacol (5-10 mg), a hydroxy-propylmethylcellulose having an LCST around 70°C. The Celacol is also applied from an ethanol solution (0.5% Celacol, 7.5% water, 92% ethanol).

The coated tablet is introduced into a beaker containing 200 ml of water at 90-95°C (time 0). The water is kept at 90-95°C for 25 minutes, after which cooling is carried out to 20°C within 15 minutes. From time 0, the conductivity and the temperature are measured and plotted against time. The results are shown in Figure 1.

From the figure it is evident that, at 92°C (for 25 minutes) and during cooling (15 minutes), only a small portion of the salt tablet dissolves (approximately 10%). However, at lower temperature (after cooling), the tablet dissolves completely within 20 minutes. An uncoated tablet is dissolved in 200 ml of water at a temperature of 90-95°C in 4.5 minutes and at a temperature of 20°C in 20 minutes.

active agent

102 on  
clarity?

**Example 2**

2.500 g of NaCl were mixed with 7.48 g of the water-soluble and heat-resistant colorant Brilliant Blue and finely ground in a mortar. A tablet was pressed from the latter and processed as in Example 1. After applying the inner hydrophobic layer (Emuldan KS60) and the Celacol layer, as specified in Example 1, the outer hydrophobic layer was applied. This layer is composed of partially solidified crambe oil having a melting point of 72°C which is applied by means of spraying from a heated 2% solution in *n*-hexane. The powdered coating (32 mg) was then heated on both sides of the tablet with the aid of a hot gun until it melted so that a tablet was obtained having a continuous hydrophobic layer.

The coated tablet was introduced into a beaker containing 200 ml of water at 26°C and stirred for 17 minutes at said temperature. Then the water was heated to 95–99°C within 13 minutes and kept at said temperature for 15 minutes, after which the whole was cooled to room temperature within 10 minutes. Stirring was continued until the tablet was completely dissolved. During the experiment, samples were taken and their colorant concentration was determined spectrophotometrically. The results of said experiment are shown in Figure 2.

From said figure it is evident that no release takes place during the stirring at room temperature. After the outer layer has been melted, some release of colorant takes place as a result of diffusion, but this is less than 10%. After cooling, the LCST layer dissolves and the colorant is released into the solution. (The total release of colorant is greater than 100% because the total volume is less than 200 ml as a result of evaporation of water).

**Example 3**

A tablet such as that in Example 2 was coated with a mixture of Celacol (0.5 g) and Emuldan KS60 (0.5 g) in alcohol/water (92.5 g/7 g). 10.9 mg of coating was applied to the tablet by means of spraying.

The tablet was immersed in a beaker containing 200 ml of water at 95–99°C and heated at said temperature for 16 minutes. Then the water was cooled in 10 minutes to room temperature and stirred at said temperature for 25 minutes. During the experiment, samples were taken and their colorant concentration was determined spectrophotometrically. The results of this experiment are plotted in Figure 3. A

pattern is clearly recognisable which is very similar to Example 1. This indicates that the inner hydrophobic layer can be applied both prior to and at the same time as the LCST layer.

#### Example 4

5           2.112 g of NaCl were mixed and ground with 0.405 g of the water-soluble and thermally unstable colorant Beet Red and pressed into a tablet. Said tablet was treated and coated as described in Example 2. The coating layers which were applied to said tablet comprised from the inside outwards respectively 7.8 mg, 6.2 mg and 31.4 mg. Said tablet was introduced into 200 ml of water and subjected to the same  
10           temperature cycle as described in Example 2. As a control, an identical tablet without coating was subjected to an identical temperature cycle of heating and cooling. The results of this experiment are shown graphically in Figure 4.

          The difference between the two tablets is manifested clearly even at room temperature. The uncoated tablet begins to dissolve and releases the colorant within  
15           14 min, while the coated tablet does not release any colorant. During heating and stirring at 98°C, the colorant released is completely decomposed. The decomposition product has, however, still some absorption at the same wavelength as the starting material, as a result of which it looks as if some starting material is still present after heating. However, from the complete UV-Vis spectrum, it appears that, after  
20           completion of the heating step in the case of the uncoated tablet, only decomposition product is present. In the case of the coated tablet, decomposition of colorant also takes place, but only of that material which is dissolved in water as a result of leaks. The bulk of the colorant is released unaffected after cooling.

#### Example 5

25           A tablet of KCl (2.5 g) and Brilliant Blue (8.8 mg) was treated as in Example 2, with the omission of the inner hydrophobic layer. The tablet was introduced into 200 ml of water and heated and cooled as in Example 2. The release profile is shown in Figure 5.

          After the outer hydrophobic layer has melted (approximately 70°C), an  
30           increased release of colorant takes place. After heating for 16 min at more than 90°C, approximately 30% of the colorant has been released. This is significantly more than

the colorant release of a pill in which a Celacol + fat layer is applied, as described in Examples 2 and 3. Below the LCST temperature, the Celacol dissolves and the rest of the colorant is released. This example shows that the barrier properties of a polymer having LCST behaviour in water are improved by applying said polymer on top of or  
5 together with a hydrophobic layer.

#### Example 6

Salt (NaCl) was combined with mushrooms either directly or using the system of example 2. The weight reduction of the mushrooms after sterilisation in the direct presence of 1.5 % NaCl brine and 0.1 % citric acid is 34.6 %. Release of the  
10 salt and acid after the sterilisation process in the same concentration using the invention gave a reduced weight of only 31.6 %.

#### Example 7

A tablet as in example 1 is produced and added to flasks with 200 ml heated water at 86°C. After 10 minutes at 86°C the temperature is raised to 120°C in 16  
15 minutes. After a total of 46 minutes the temperature is lowered to 35°C (after 182 minutes). The conductivity of the water is measured at different time intervals during the experiment and the trend is similar to the other examples. Furthermore, the turbidity of the water is determined during the experiment by spectrometry at 780 nm. After sterilisation, the turbidity was approximately 0.01 in comparison to 0.00 for  
20 plain water.

#### Example 8

A solution of 250 ml 42.3 mM HCl is heated to 100°C. A pellet containing 0.423 g NaOH encapsulated in 2 gram salt, is added. The pellet is coated with 8 mg of hybrid coating on a fluidised bed from a solution of 0.5% Celacol, 0.5 %  
25 Emuldan, 7% H<sub>2</sub>O and 92% ethanol. Subsequently the solution is sterilised for 15 minutes at 120°C. The pH is indicated using bromo methyl blue, which turns from yellow to blue at pH 7. The base is released after sterilisation during cooling. Just at room temperature the solution turns from yellow into blue which indicates the neutralisation of the solution.

**Example 9**

Custard pudding with berry juice is a popular dessert. However, flavours and colorants will migrate from the juice into the custard during storage, which induces the loss of the characteristic colour and flavour of the custard and thereby the loss of quality of the product. A lipid film between the custard and the juice can form a barrier and will therefore improve the product quality. However, during pasteurisation of the product, the lipid film will melt and float to the surface. With the present method it is possible to prevent the creaming of the lipid during pasteurisation.

Custard is bottled in a jar. The surface is coated with a lipid layer (hardened coconut oil, Hardko). Subsequently the lipid layer is covered with a Celacol film from an aqueous solution. After drying the Celacol film, the hot juice is added and the closed jar is pasteurised. After pasteurisation it appears the lipid still forms a layer between the custard and the juice.

### Claims

1. An encapsulated material, wherein at least a part of the material is kept encapsulated during heat treatment in an aqueous environment and is released after cooling after said heat treatment, characterised in that the material is encapsulated in a layer of a hydrophobic film-forming material and a layer of a material having a low critical solution temperature (LCST) below the temperature of said heat treatment.
2. An encapsulated material according to claim 1, wherein at least a part of said material is kept encapsulated before said heat treatment.
3. An encapsulated material according to claim 2, wherein the layer containing the hydrophobic material is situated outside the layer having the LCST and has a melting point above the LCST of said layer.
4. An encapsulated material according to claim 1, wherein the layer containing the hydrophobic material is situated inside the layer having the LCST and has a melting point below the LCST.
5. An encapsulated material according to any one of claims 1-4, wherein both a first layer containing hydrophobic material is situated inside the layer having the LCST and a third layer containing hydrophobic material is situated outside the layer having the LCST.
6. An encapsulated material according to any one of claims 1-5, wherein the material having an LCST comprises an alkylated and/or hydroxyalkylated polysaccharide.
7. An encapsulated material according to claim 6, wherein the material having an LCST comprises a hydroxypropylmethylcellulose.
8. An encapsulated material according to any one of claims 1-7, wherein the LCST is a temperature between 30 and 100°C.
9. An encapsulated material according to any one of claims 1-8, wherein the heat treatment temperature is a temperature between 50 and 150°C, in particular between 60 and 120°C.

10. An encapsulated material according to any one of claims 1-9, wherein the temperature of the aqueous environment after and optionally before said heat treatment is between 0 and 50°C, especially between 5 and 30°C.

11. An encapsulated material according to any one of claims 1-10, wherein the layer of the hydrophobic material and the layer having the LCST have been applied simultaneously.

12. A combination of two adjacent semi-solid materials, wherein at least one material contains an ingredient capable of migrating into the other, characterised in that the semi-solid materials are separated by at least one layer of a hydrophobic material and a layer of a material having a low critical solution temperature (LCST) below the temperature of said heat treatment.

13. A combination according to claim 12, wherein said LCST material is situated above one hydrophobic layer.

14. A combination according to claim 12 or 13, wherein said semi-solid materials are foodstuffs.

15. A process for adding an active material to a target environment before or during a heat treatment and releasing said material to said target environment after said heat treatment, by adding said active material in coated form comprising at least a layer of a hydrophobic film-forming material and a layer of a material having a low critical solution temperature (LCST) below the temperature of said heat treatment.

16. A process according to claim 15, wherein said active material is added to said target environment before said heat treatment and said active material is coated with at least a hydrophobic layer outside the layer of said LCST material.

17. A process according to claim 15 or 16, wherein said active material is coated with two hydrophobic layers at either side of the layer of said LCST material.

18. A process according to claim 15 or 16, wherein said active material is coated with a hybrid layer of said hydrophobic material and said LCST material, and an outer layer of hydrophobic material.



# INTERNATIONAL SEARCH REPORT

Int lional Application No

PCT/NL 98/00241

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 A23P1/04 A23L1/237 A23P1/08 A23L1/187

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A23P A23L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 89 05634 A (SHINETSU CHEMICAL CO) 29 June 1989 cited in the application see page 6, paragraph 1 - page 7, paragraph 1 see page 11, paragraph 2 - page 13, paragraph 1 see example 2 see claims 1-3,5 ---	1-3,6-10
X	US 4 816 259 A (MATTHEWS JAMES W ET AL) 28 March 1989 see column 2, line 45 - column 3, line 31 see claims 1-3 --- -/--	1-10

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

26 August 1998

Date of mailing of the international search report

03/09/1998

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Vuillamy, V

# INTERNATIONAL SEARCH REPORT

Int'l Application No

PCT/NL 98/00241

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 310 558 A (POZZI FRANCO ET AL) 10 May 1994 cited in the application see column 3, line 66 - column 5, line 11 see column 6, line 1 - line 15 see examples ---	1-3,6-11
X	WO 92 01446 A (APS RES LTD) 6 February 1992 see page 4, line 13 - line 31 see page 8, line 27 - page 9, line 2 see claims 1-3,6,10 ---	1,2,4, 6-10
X	US 5 324 445 A (LANGLEY JOHN ET AL) 28 June 1994 see column 9, line 13 - line 19 see column 12, line 22 - column 13, line 28 ---	1,2,4, 6-10
X	US 5 286 502 A (MEYERS MARC A) 15 February 1994 see claims 1,9,15; examples ---	1-3,6-10
X	WO 87 03453 A (WISCONSIN ALUMNI RES FOUND) 18 June 1987 see claims 1-6,11,21; examples ---	12-14
X	WO 86 00501 A (WISCONSIN ALUMNI RES FOUND) 30 January 1986 see claims 1,2,7,42; examples ---	12-14
A	US 5 139 794 A (PATEL MANSUKH M ET AL) 18 August 1992 see column 4, line 5 - line 66 -----	1

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/NL 98/00241

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 8905634	A	29-06-1989	JP 1165520 A	29-06-1989
			DE 3883227 A	16-09-1993
			DE 3883227 T	16-12-1993
			EP 0347461 A	27-12-1989
US 4816259	A	28-03-1989	NONE	
US 5310558	A	10-05-1994	IT 1244867 B	12-09-1994
			IT 1244037 B	28-06-1994
			US 5445828 A	29-08-1995
			US 5629017 A	13-05-1997
			AT 400295 B	27-11-1995
			AT 131091 A	15-04-1995
			AU 638583 B	01-07-1993
			AU 8019991 A	09-01-1992
			BE 1004882 A	16-02-1993
			CA 2044398 A	05-01-1992
			CH 683498 A	31-03-1994
			DE 4122039 A	09-01-1992
			DK 129591 A	05-01-1992
			ES 2036457 B	01-03-1994
			FI 913248 A	05-01-1992
			FR 2664163 A	10-01-1992
			GB 2245492 A, B	08-01-1992
			GR 91100283 A, B	26-08-1992
			HU 9500435 A	28-09-1995
			IE 61651 B	16-11-1994
			IL 98525 A	23-07-1996
			JP 6024961 A	01-02-1994
			LU 87964 A	03-03-1992
			NL 9101161 A	03-02-1992
			PT 98188 A	29-05-1992
			SE 9102072 A	05-01-1992
			RU 2012330 C	15-05-1994
WO 9201446	A	06-02-1992	NONE	
US 5324445	A	28-06-1994	WO 9220771 A	26-11-1992
			US 5460817 A	24-10-1995
			US 5492646 A	20-02-1996

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/NL 98/00241

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5324445 A		AU 637323 B	27-05-1993
		AU 4022289 A	08-03-1990
		AU 637577 B	03-06-1993
		AU 4022689 A	01-03-1990
		AU 634719 B	04-03-1993
		AU 4022789 A	01-03-1990
		CA 1336694 A	15-08-1995
		CA 1339108 A	29-07-1997
		DE 68910925 D	05-01-1994
		DE 68910925 T	23-02-1995
		DE 68919942 D	26-01-1995
		DE 68919942 T	27-07-1995
		DE 68921266 D	30-03-1995
		DE 68921266 T	22-06-1995
		DK 2796 A	12-01-1996
		DK 171065 B	13-05-1996
		DK 171073 B	28-05-1996
		DK 171054 B	06-05-1996
		EP 0361677 A	04-04-1990
		EP 0356239 A	28-02-1990
		EP 0356240 A	28-02-1990
		EP 0626445 A	30-11-1994
		FI 893957 A,B,	25-02-1990
		FI 893958 A	25-02-1990
		FI 893959 A,B,	25-02-1990
		GR 3015411 T	30-06-1995
		JP 2102298 A	13-04-1990
		JP 2639844 B	13-08-1997
		JP 2111718 A	24-04-1990
		JP 2150280 A	08-06-1990
		NO 175601 B	25-07-1994
		NO 176278 B	28-11-1994
		NO 176248 B	21-11-1994
		US 5744152 A	28-04-1998
		US 5035900 A	30-07-1991
		AT 143049 T	15-10-1996
		AU 1789392 A	30-12-1992
		CA 2102126 A	15-11-1992
		DE 69213934 D	24-10-1996
		DE 69213934 T	30-01-1997

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/NL 98/00241

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5324445 A		DK 585295 T EP 0585295 A ES 2093831 T GR 3021416 T MX 9202246 A ZA 9203510 A	17-03-1997 09-03-1994 01-01-1997 31-01-1997 01-11-1992 17-05-1993
US 5286502 A	15-02-1994	AU 687697 B AU 1266597 A AU 1470197 A AU 4110093 A AU 4110193 A AU 4110293 A AU 5109193 A CA 2118222 C CA 2118225 C CN 1079108 A,B DE 69315443 D DE 69315443 T EP 0749276 A EP 0670679 A EP 0670680 A EP 0673207 A MX 9302334 A US 5376388 A US 5409715 A US 5433960 A WO 9320708 A WO 9320709 A WO 9320710 A WO 9320711 A	26-02-1998 10-04-1997 15-05-1997 18-11-1993 18-11-1993 18-11-1993 18-11-1993 30-07-1996 30-07-1996 08-12-1993 08-01-1998 20-05-1998 27-12-1996 13-09-1995 13-09-1995 27-09-1995 31-03-1994 27-12-1994 25-04-1995 18-07-1995 28-10-1993 28-10-1993 28-10-1993 28-10-1993
WO 8703453 A	18-06-1987	EP 0250549 A JP 63501921 T US 4915971 A	07-01-1988 04-08-1988 10-04-1990
WO 8600501 A	30-01-1986	AU 4604385 A CA 1267806 A EP 0188553 A JP 61502794 T	10-02-1986 17-04-1990 30-07-1986 04-12-1986

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/NL 98/00241

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 8600501 A		US 4915971 A	10-04-1990
US 5139794 A	18-08-1992	US 4978537 A	18-12-1990
		AT 140856 T	15-08-1996
		AU 624243 B	04-06-1992
		AU 5526990 A	16-11-1990
		CA 2014275 A,C	19-10-1990
		CN 1046445 A	31-10-1990
		DE 69027969 D	05-09-1996
		DE 69027969 T	06-02-1997
		DK 422189 T	26-08-1996
		EP 0422189 A	17-04-1991
		WO 9012512 A	01-11-1990
		AU 619152 B	16-01-1992
		AU 5021490 A	16-11-1990
		CA 1335763 A	06-06-1995
		CN 1054884 A	02-10-1991
		DE 69004047 D	25-11-1993
		DE 69004047 T	10-02-1994
		DK 423255 T	13-12-1993
		EP 0423255 A	24-04-1991
		ES 2045906 T	16-01-1994
		JP 7051049 B	05-06-1995
		JP 3505282 T	21-11-1991
		PH 26002 A	29-01-1992
		WO 9012511 A	01-11-1990
		US 5108762 A	28-04-1992
		US 5169658 A	08-12-1992
		US 5165944 A	24-11-1992
		US 5154939 A	13-10-1992
		US 5198251 A	30-03-1993
		US 5229148 A	20-07-1993
		AT 123650 T	15-06-1995
		AU 639645 B	29-07-1993
		AU 6263990 A	26-04-1991
		CA 2025764 A,C	11-04-1991
		CN 1054368 A	11-09-1991
		DE 69020088 D	20-07-1995
		DE 69020088 T	01-02-1996
		DK 422820 T	14-08-1995

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/NL 98/00241

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5139794 A		EP 0422820 A	17-04-1991
		ES 2073538 T	16-08-1995
		JP 3206110 A	09-09-1991
		US 5364627 A	15-11-1994
<hr/>			